Medical Nutrition Therapy: A Key to Diabetes Management and Prevention

Sara F. Morris, MAT, MPH, RD, and Judith Wylie-Rosett, EdD, RD

The link between diabetes and diet has been well documented, as has the importance of diet in conjunction with medical interventions for diabetes. Patients often look to their primary care physicians for advice about general diabetes care, including diet, but survey studies have revealed that doctors feel uncomfortable advising patients on the sensitive issues of weight loss and diet. Research is increasingly demonstrating that medical nutrition therapy (MNT), administered by a registered dietitian (RD) or nutrition professional, is a key component of diabetes management and a complement to treatment of diabetes by physicians.

The American Diabetes Association (ADA) 2009 clinical practice recommendations state that “individuals who have pre-diabetes or diabetes should receive individualized MNT as needed to achieve treatment goals, preferably provided by a registered dietitian familiar with the components of diabetes MNT.” Furthermore, the ADA’s position statement titled “Nutrition Recommendations and Interventions for Diabetes” emphasizes the importance of MNT in preventing diabetes, managing existing diabetes, and preventing and slowing the onset of diabetes-related complications. The integration of MNT into diabetes care has the potential to improve patients’ diabetes management and to lessen the burden on physicians to provide nutrition information.

Defining MNT
MNT is defined as “nutritional diagnostic, therapy, and counseling services for the purpose of disease management, which are furnished by a registered dietitian or nutrition professional.” The American Dietetic Association, the professional organization of RDs, defines the nutrition counseling component of MNT as “a supportive process to set priorities, establish goals, and create individualized action plans which acknowledge and foster responsibility for self-care.”

In general, MNT consists of multiple, one-on-one sessions between an RD and a patient, in which the RD performs the nutrition assessment, diagnosis, counseling, and other therapy services according to the American Dietetic Association’s “MNT Evidence-Based Guide for Practice/Nutrition Protocol” or according to the best available current evidence in the nutrition community. After an RD receives a referral from a physician, the framework of counseling is standardized and documented as part of the American Dietetic Association’s Nutrition Care Process (nutrition assessment, nutrition diagnosis, nutrition intervention, nutrition monitoring, and evaluation).

The components of MNT provided by an RD, according to the Nutrition Care Process for any nutrition-related disease (not just diabetes), are outlined in Table 1. Initially, the dietitian performs a nutrition assessment of the patient’s dietary patterns. This assessment, in conjunction with information about laboratory testing, medications, and any other conditions that the RD would have received with the physician referral, allows the RD to evaluate such factors as the patient’s macronutrient needs versus intake, the consistency of meals, the amount and consistency of carbohydrate intake, and the general quality of the patient’s diet. The RD can then issue a coded, standardized nutrition diagnosis and tailor future individual counseling to help the patient meet goals related to the diagnosis and nutrition needs.

As an example, after reviewing a patient’s physician referral information and dietary patterns, an RD might issue the following diagnostic statement: “Inconsistent carbohydrate intake related to inadequate meal planning as evidenced by meals and snacks containing a range from..."
To 150 g of carbohydrates on a daily basis. The nutrition intervention for this diagnostic statement might consist of the RD teaching the patient to plan meals and carbohydrate intake using the diabetes plate model or the carbohydrate exchange methods, helping the patient set goals related to consistent intake, and then using motivational interviewing or problem solving to address any barriers the patient has to meeting nutritional goals.8,9

As part of nutrition monitoring and evaluation, the RD monitors biochemical factors such as A1C and serum lipid levels, as well as lifestyle factors such as dietary intake. Depending on how many sessions the RD has with the patient, these factors are used to evaluate the effectiveness of interventions in meeting goals. Diagnoses and interventions might then be revised based on nutrition-related outcomes. Therefore, MNT for nutrition-related disorders such as diabetes is not necessarily a linear process. Counseling in MNT is individualized and tailored to a patient’s clinical and lifestyle needs.

MNT is not synonymous with diabetes self-management training (DSMT). DSMT is an education and training program that helps patients manage their diabetes, whereas MNT consists of more individualized diagnosis, therapy, and counseling related to nutrition.7

According to the American Dietetic Association’s 2009 White Paper on Nutrition Services that distinguishes between the delivery of DSMT and MNT services, the DSMT curriculum that is accredited by Medicare consists of 1 hour of individualized assessment and

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<th>Application of Nutrition Care Process</th>
<th>MNT Provided by RD (for individual)</th>
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<tr>
<td>Nutrition screen/referral</td>
<td>The physician provider sends RD written referral for MNT for diabetes. The referral includes information regarding current laboratory test results, medications, and other medical diagnoses.</td>
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<tr>
<td>Nutrition assessment</td>
<td>The RD performs a comprehensive nutrition assessment utilizing the Diabetes Type 1 and 2 Evidenced-Based Nutrition Practice Guideline for Adults and Toolkit, as well as the best available current knowledge and evidence, client data, medical record data, and other resources.</td>
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<tr>
<td>Nutrition diagnosis</td>
<td>After analyzing assessment data, the RD makes initial nutrition diagnosis(es); for example, inconsistent carbohydrate intake (diagnosis code NI-5.8.4), inconsistent timing of carbohydrate intake throughout the day, day to day, or a pattern of carbohydrate intake that is not consistent with recommended pattern based on physiological or medication needs.</td>
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<tr>
<td>Nutrition intervention</td>
<td>The RD provides counseling and, with the client, determines interventions using the cognitive behavioral model, including problem solving, motivational interviewing, goal setting, and self-monitoring.</td>
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<tr>
<td>Nutrition monitoring and evaluation</td>
<td>The RD monitors A1C, microalbuminuria, BMI, serum lipid levels, goals for food plan/intake, activity, and other behavior changes. The RD implements changes to MNT (e.g., patient education goals, nutrition intervention, and counseling) in future visits based on outcomes and assessments at each visit.</td>
</tr>
<tr>
<td>Nutrition documentation (supports all steps of the Nutrition Care Process)</td>
<td>The RD documents MNT initial assessment, nutrition diagnosis(es), and intervention(s); shares with referring physician; and keeps a copy on file.</td>
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<tr>
<td>Outcome management systems</td>
<td>Based on RD analysis, critical thinking, and review of data from the patient’s medical history and other health care professionals, the RD aggregates individual and population outcomes data; analyzes and shares with quality improvement department/group as indicated; and implements improvements to MNT services based on results.</td>
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Table 1. MNT Provided by RDs
9 hours of group classes. One of the content areas outlined in the DSMT curriculum is “incorporating nutritional management into lifestyle,” but others are less nutrition related, such as “using medications safely and for maximum therapeutic effectiveness.”

MNT for patients with diabetes is administered in several sessions with an RD, who provides “more intensive nutrition counseling and a therapy regimen that relies heavily on follow-up and feedback to assist patients with changing their behavior(s) over time.” Furthermore, although MNT for diabetes patients can be administered only by a licensed/certified RD or nutrition professional, DSMT can be administered by a registered nurse, dietitian, or pharmacist.

Sometimes it may be most helpful for patients to receive DSMT first, followed by MNT. Other patients may receive DSMT and MNT simultaneously. Professional organizations such as the ADA, the American Dietetic Association, and the Centers for Medicare and Medicaid Services recognize both the distinctions between DSMT and MNT and the possibility that the two programs can complement each other.

### Effectiveness of MNT in Diabetes Treatment and Prevention: A Summary of Current Literature

Research has shown MNT to be effective for the treatment of both type 1 and type 2 diabetes. The American Dietetic Association reviewed 18 studies that involved the provision of MNT by an RD as part of treatment for either type 1 or type 2 diabetes. Of these, all 8 of the randomized controlled trials reviewed demonstrated a positive effect of MNT in diabetes management, measured by improvement in A1C levels. Based

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<th>References</th>
<th>Study Length</th>
<th>Number of Subjects</th>
<th>Outcome</th>
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<tbody>
<tr>
<td><strong>MNT only</strong></td>
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<tr>
<td>UKPDS Study Group, 1990</td>
<td>3 months</td>
<td>3,042 patients with newly diagnosed type 2 diabetes</td>
<td>In 2,595 patients who received intensive nutrition therapy (447 were primary diet failures), A1C decreased 1.9% (from 8.9 to 7%) during the 3 months before study randomization</td>
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<td>Franz et al., 1995</td>
<td>6 months</td>
<td>179 people with type 2 diabetes; 62 in comparison group; duration of diabetes: 4 years</td>
<td>A1C at 6 months decreased 0.9% (from 8.3 to 7.4%) with nutrition practice guidelines care; A1C decreased 0.7% (from 8.3 to 7.6%) with basic nutrition care; A1C was unchanged in the comparison group with no nutrition intervention (from 8.2 to 8.4%)</td>
</tr>
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<td>Kulkarni et al., 1998</td>
<td>6 months</td>
<td>54 patients with newly diagnosed type 1 diabetes</td>
<td>A1C at 3 months decreased 1.0% (from 9.2 to 8.2%) with nutrition practice guideline care and 0.3% (from 9.5 to 9.2%) in usual nutrition care group</td>
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| **MNT in combination with DSMT**                                    |              |                    |         |
| Glasgow et al., 1992 | 6 months | 162 type 2 diabetic patients > 60 years of age | A1C decreased from 7.4 to 6.4% in the control-intervention crossover group, whereas the intervention-control crossover group had a rebound effect; the intervention group had a multidisciplinary team with an RD who provided MNT |
| Sadur et al., 1999 | 6 months | 185 adult patients with diabetes | 97 patients received multidisciplinary care; and 88 patients received usual care by primary care MD; A1C decreased 1.3% in the multidisciplinary care group compared with 0.2% in the usual care group; intervention group had a multidisciplinary team with an RD who provided MNT |

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### Table 2. Summary of Evidence for Nutrition Therapy in Diabetes,11–26 continued from page 14

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<tr>
<th>References</th>
<th>Study Length</th>
<th>Number of Subjects Description</th>
<th>Outcome</th>
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<tbody>
<tr>
<td><strong>Observational studies</strong></td>
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<td>Cross-sectional survey</td>
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<tr>
<td>Delahanty and Halford, 199317</td>
<td>9 years</td>
<td>623 patients with type 1 diabetes</td>
<td>Patients who reported following their meal plan &gt; 90% of the time had an average A1C level 0.9% lower than subjects who followed their meal plan &lt; 45% of the time</td>
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<tr>
<td><strong>Expert opinion</strong></td>
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<td>DCCT Research Group, 199318</td>
<td></td>
<td></td>
<td>The Diabetes Control and Complications Trial (DCCT) research group recognized the importance of the role of the RD in educating patients on nutrition and adherence to achieve A1C goals; the RD was a key member of the team</td>
</tr>
<tr>
<td>Franz et al., 199419</td>
<td></td>
<td></td>
<td>The DCCT made apparent that RDs and RNs were extremely important members of the team in co-managing and educating patients</td>
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<tr>
<td><strong>Chart audit</strong></td>
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<tr>
<td>Johnson and Valera, 199520</td>
<td>6 months</td>
<td>19 patients with type 2 diabetes</td>
<td>At 6 months, blood glucose levels decreased 50% in 76% of patients receiving nutrition therapy by an RD. Mean total weight reduction was ~ 5 lb</td>
</tr>
<tr>
<td>Johnson and Thomas, 200121</td>
<td>1 year</td>
<td>162 adult patients</td>
<td>MNT intervention decreased A1C levels 20%, bringing mean levels to &lt; 8% compared with subjects without MNT intervention, who had a 2% decrease in A1C levels</td>
</tr>
<tr>
<td><strong>Retrospective chart review</strong></td>
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<tr>
<td>Christensen et al., 200022</td>
<td>3 months</td>
<td>102 patients (15 type 1 and 85 type 2 diabetic patients with duration of diabetes &gt; 6 months)</td>
<td>A1C levels decreased 1.6% (from 9.3 to 7.7%) after referral to an RD</td>
</tr>
<tr>
<td><strong>Meta-analyses of trials</strong></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>Brown et al., 1996, 199023,24</td>
<td>89 studies</td>
<td></td>
<td>Educational intervention and weight loss outcomes; MNT had a statistically significant positive impact on weight loss and metabolic control</td>
</tr>
<tr>
<td>Padgett et al., 198825</td>
<td>7,451 patients</td>
<td></td>
<td>Educational and psychosocial interventions in management of diabetes (including MNT, self-monitoring of blood glucose, exercise, and relaxation); nutrition education showed strongest effect</td>
</tr>
<tr>
<td>Norris et al., 200126</td>
<td>72 studies</td>
<td></td>
<td>Positive effects of self-management training on knowledge, frequency, and accuracy of self-monitoring of blood glucose, self-reported dietary habits, and glycemic control were demonstrated in studies with short follow-up (&lt; 6 months)</td>
</tr>
</tbody>
</table>

*Adapted from Ref. 11.*
on this review, the American Dietetic Association reports strong support for the effectiveness of MNT provided by RDs in the management of type 1 and type 2 diabetes and suggests that MNT has the greatest potential for impact when diabetes is first diagnosed.10

Several studies in which MNT was included in diabetes treatment interventions that successfully affected metabolic and behavioral outcomes are summarized in Table 2, adapted from Pastors et al.11–26 The studies varied in design and length (from 3 months to 9 years), and some were conducted before MNT for diabetes was as well defined as it is today. However, all studies listed implemented at least one study group that received individual counseling from an RD, with number of sessions ranging from two total sessions to monthly sessions for 6 months or more. These studies reported improvements in A1C ranging from 0.9 to 1.9% for groups receiving MNT and generally reported a greater improvement in A1C when compared to study groups that received less intensive or basic nutrition education.11 In general, Pastors et al. summarize that “randomized controlled nutrition therapy outcome studies have documented decreases in [A1C] of ~1% in newly diagnosed type 1 diabetes, 2% in newly diagnosed type 2 diabetes, and 1% in type 2 diabetes with an average duration of 4 years.”11

MNT has also been shown to be effective in the prevention of type 2 diabetes. Although the onset of type 1 diabetes is not considered to be preventable, the onset of type 2 diabetes can be delayed or prevented with lifestyle modifications, including changes to diet. Based on its review of seven studies that implemented nutrition therapy and 16 studies that implemented intensive lifestyle interventions including nutrition therapy, the American Dietetic Association concluded that MNT is “effective at reducing the incidence of type 2 diabetes.”26

The same review concluded that pharmacotherapy is also effective at reducing incidence of type 2 diabetes, but stated that in all but one of seven studies reviewed, lifestyle interventions were more effective than pharmacotherapy at reducing incidence.10 Regarding pharmacotherapy, it should be noted that although the ADA clinical practice recommendations do endorse the use of medications to treat certain complications of diabetes, they also endorse MNT and lifestyle changes as alternatives and complements to pharmacotherapy.2

A notable prevention study is the Diabetes Prevention Program, reported in 2002.27 In this randomized, controlled trial of 1,079 participants aged 25–84 years, a 58% reduction in incidence of diabetes over 3 years was reported in subjects treated with an intensive lifestyle intervention that included MNT.

Based on the results of this prevention intervention, the Look AHEAD (Action for Health in Diabetes) study is currently underway to test the effects of similar lifestyle interventions in the treatment of 5,145 men and women who have already been diagnosed with type 2 diabetes.28 Although this trial is planned to extend for 11.5 years, the researchers’ recently published 1-year results reported that the intensive lifestyle intervention group lost 8.6% of initial weight compared to 0.7% in the control group.28

The intensive lifestyle intervention included comprehensive diet counseling from an RD, whereas the control group received basic, or “usual,” care for diabetes. The experimental group also had significantly greater decreases in A1C, systolic and diastolic blood pressure, and triglyceride levels in the first year, compared to the control group.28

The body of evidence pointing to the effectiveness of MNT in treating, and now preventing, adult type 2 diabetes continues to grow. However, research on the effectiveness of MNT for diabetes in children and adolescents is still limited. The Diabetes Control and Complications Trial, reported in 1993, did include subjects aged 13–39 years.29 This randomized, controlled trial showed 34–76% reductions in complications of type 1 diabetes in the study group, which received both intensive insulin therapy and monthly clinic visits with an RD.

It is only within the past decade that the prevalence of type 2 diabetes among children and adolescents has been recognized, but studies currently underway are demonstrating that the incidence and prevalence of type 2 diabetes are increasing.30,31 Therefore, there is a need for research to identify the most effective interventions to address the prevention and treatment of type 2 diabetes in children and adolescents.

Even so, based on the existing evidence pertaining to adults with type 2 diabetes, the contribution of MNT to the prevention and treatment of this disease in children is promising.

MNT and Third-Party Coverage
Although the ADA and the American Dietetic Association are in agreement that patients with pre-diabetes and diagnosed diabetes should be referred for MNT, many primary care physicians are unsure of how to go about making these referrals.

Government Medicare insurance benefits cover Americans > 65 years of age, some disabled people < 65 years of age, and people of any age who have end-stage renal disease.32 Since 2000, Medicare benefits have covered MNT for people with type
1 diabetes, type 2 diabetes, gestational diabetes, non-dialysis kidney disease, and post-kidney transplant patients who are otherwise eligible for Medicare insurance.3

Medicare generally serves as the standard for other third-party payers, so many private and state insurance plans also cover MNT for diabetes management. In fact, as of 2009, 46 states and the District of Columbia have legislation in place that requires insurance coverage for diabetes management, although the breadth of coverage offered varies greatly from state to state.33

However, although Medicare and other third-party payers cover diabetes screening for patients who have been diagnosed with pre-diabetes, Medicare does not currently cover MNT for patients with pre-diabetes.34 The Diabetes Prevention Program study has done much to underscore the effectiveness and cost-effectiveness of lifestyle interventions, including MNT, in preventing or delaying the onset of type 2 diabetes.35 In this time of insurance reform, it is possible that coverage for MNT for pre-diabetes, considered to be a preventive service, will become more widespread.

It is important to note that Medicare coverage of MNT for people with diabetes requires a physician referral. According to Medicare MNT legislation, patients with a physician referral can receive 3 hours of individual counseling with an RD during the first year of treatment and 2 hours of counseling each year after that.4,5,7 Medicare also covers DSMT in addition to MNT without decreasing the benefit of either.7

Patients who are not covered under Medicare insurance should still be referred for MNT because many private insurers will cover MNT for diabetes and other conditions.36 To ensure that patients will be eligible to receive MNT through third-party coverage, the American Dietetic Association suggests the following guidelines for physicians:36

- Take care to include the diagnosis and diagnosis code for diabetes on the referral form.
- Submit pertinent recent lab results and medication lists with referrals.
- Document patients’ need for MNT in their medical charts.
- Encourage patients to make an appointment with an RD at a local hospital, clinic, or private practice office, and assist them in locating an RD if they are unsure how to do so.
- Provide another referral and make appropriate medical record documentation if and when patients need additional hours of MNT.

To assist patients in locating an RD, physicians can access the “Find a Nutrition Professional” section of the American Dietetic Association’s Web site, www.eatright.org.

Some nutrition professionals hold the certified diabetes educator (CDE) credential in addition to the RD credential. CDEs are defined as “health care professionals who have defined roles as diabetes educators, not . . . those who may perform some diabetes-related functions as part of or in the course of other usual and customary occupational duties.”37 CDEs may be nurses, doctors, dietitians, or other health care providers. Whatever their discipline, they must have 2 full years of professional practice experience, perform 1,000 hours of diabetes self-management education (DSME), and take an accreditation examination to earn the CDE credential.

DSME is synonymous with DSMT. Therefore, the scope of diabetes self-management education provided by CDEs includes not only a nutrition education component, but also education and training in the effects of diabetes-related medicines, self-monitoring of blood glucose, and administering insulin.6,37

The difference between DSMT/DSME and MNT has been explained; the treatments are complementary but not identical in scope. Therefore, although a health care professional who is a CDE may be able to advise patients regarding some aspects of diabetes nutrition, only an RD can provide intensive MNT.

It is arguably ideal to refer patients who need diabetes MNT to RDs who are also CDEs, because these individuals would be experts in the nutrition aspect of diabetes and trained to educate patients in some non-nutrition aspects of diabetes management. However, the professional to whom patients are referred for MNT must, at the minimum, hold the RD credential.

Conclusion

MNT is an effective and increasingly affordable method to prevent type 2 diabetes and to treat both type 1 and type 2 diabetes. It is endorsed for the treatment of diabetes by the Institute of Medicine, the American Dietetic Association, and the ADA and is covered by Medicare. The provision of MNT by RDs, who are experts in offering individualized nutrition counseling, will improve the quality of counseling offered to patients and alleviate the burden on physicians to provide nutrition education. Primary care physicians should refer patients with symptoms of pre-diabetes and diabetes for MNT services, to be provided by an RD, to ensure the best care for their patients.

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